

W-8BEN Support Guide

Your step-by-step guide to completing the W-8BEN form

What is W-8BEN?

The W-8BEN is a United States (U.S.) Department of the Treasury Internal Revenue Service (IRS) requirement that allows you, as a foreign investor, to claim concessional tax treaty benefits (including a reduced rate of withholding tax).

The W-8BEN form is meant for foreign individuals or sole proprietors.

If we do not have a valid W-8BEN on file, any payments (such as dividends and sale proceeds) credited to your account may be subject to a withholding tax of 30% to cover the U.S. Department of the Treasury IRS withholding tax liabilities.

Once signed, the W-8BEN form is valid until the last day of the third calendar year following the signature date. For example, if you were to complete a form in 2025, it will expire on the 31 December 2028.

However, if there has been a change in circumstances, such as a name change, in that instance we will require a new form submission.

For detailed instructions on completing the W-8BEN, visit the IRS website. Australian tax residents should also review IRS Notice 2018-20.

This guide aims to help you meet your obligations for U.S. tax withholding and reporting. However, please ensure you fully understand your responsibilities before completing the form.

You will complete your W-8BEN digitally, eliminating the need to print or email the form. We will receive it digitally upon your submission, and certification may take up to 3 days.

If there are any issues with the form, you will receive an email from us informing you of any updates needed. The information in this guide is general and not intended as advice.

For tax advice regarding the W8 form, contact the IRS or your Tax Adviser. AUSIEX cannot provide advice on your obligations or the U.S. tax implications of your investments.

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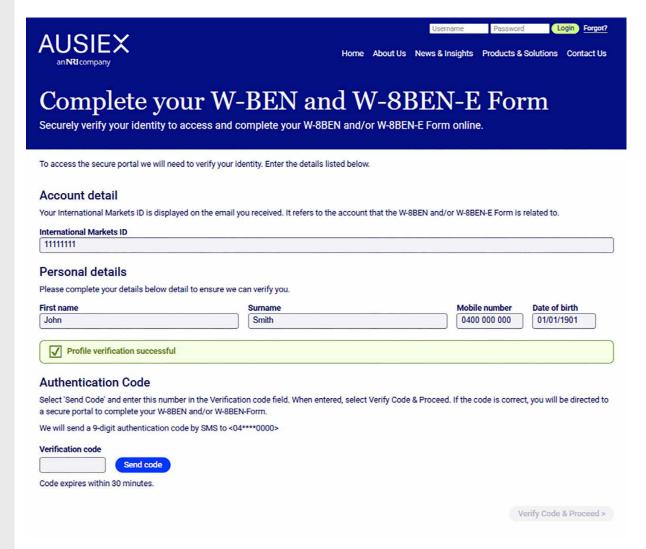
Accessing Portal & Verification details

You will receive an email requesting the W-8BEN form to be completed. In the case of a joint account, this email will be sent to both applicants.

Follow the steps in the email under, "What you need to do", by copying and pasting the URL provided in your browser.

Once on the portal, complete the fields. Your International Markets ID will be provided in the email.

Click on "Proceed". Your details will then be validated against your profile. Once the details are confirmed, you will proceed to the next step.



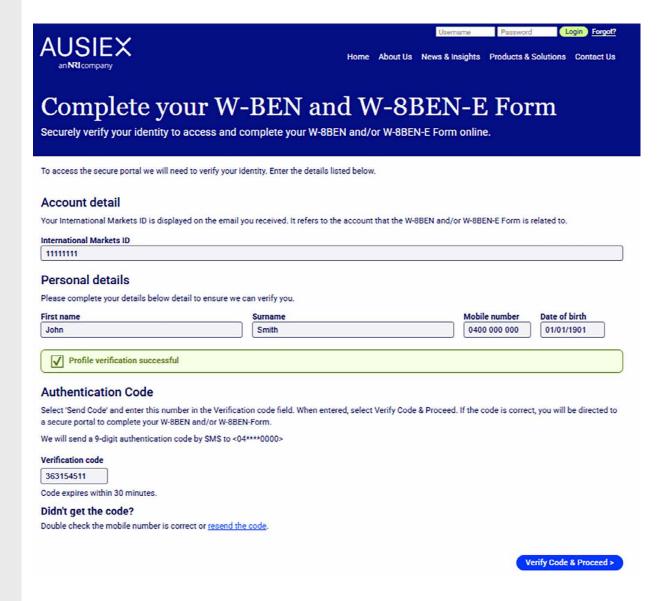
Authentication

Select "Send Code" next to Verification code. A verification code will be supplied to your mobile number via a SMS.

The verification code is valid for 30 minutes. If you do not verify the codes, it can be resent after the initial code is requested.

Enter the verification code and click "Verify Code & Proceed".

You will then be signed in automatically to complete the W-8BEN form.



Select Account Type

Please select the appropriate account type that relates to the W-8 form you are completing.

Your circumstances and information inform the type of W8 form that applies to you. AUSIEX can only facilitate the transactions of clients that are eligible to provide a W-8BEN.

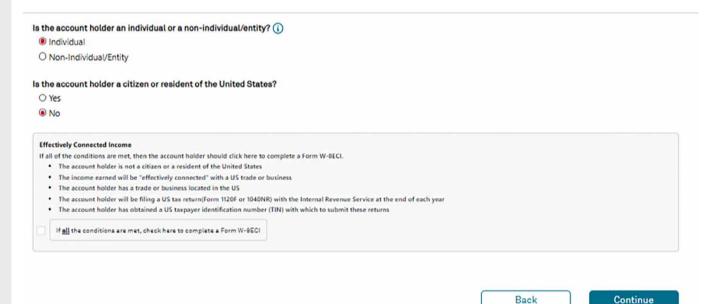
Prior to returning a W-8BEN form, please review the IRS instructions for Individuals or for Entities and/ or seek independent advice to determine the type of form that is most appropriate for your circumstances.

If you select the box within the "Effectively Connected Income" section, you will be completing a W-8ECI form which is not eligible for the AUSIEX International Markets service.

For more information on the W-8BEN see the IRS website.

For Individual and Joint accounts, select "Individual".

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Name

Please ensure your name, including any middle names are correctly pre-populated. The name provided must match your existing AUSIEX Share Trading Account.

If you are the individual completing the form, select "Yes".



Country of Citizenship

Next, please enter your Country or Region of Citizenship.

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Country/Region of Citizenship

Country/Region of Citizenship

Australia

Residential Address

Your residential address should be pre-populated with information from your existing AUSIEX Share Trading Account. If your address is incorrect you will need to update your address by completing the Change of Personal Contact details form via the AUSIEX website.

Please be aware that you cannot use a P.O. box or an in-care-of address as your residential address. This section requires a physical residential address. If you enter a P.O. box or in-care-of address, your W-8BEN form may be rejected, and you will need to complete a new W-8BEN form.

If your country of tax residency is different to your residential address, please contact our International Markets desk to discuss on **1800 252 351** or email us at international@ausiex.com.au between Monday – Friday, 8:30am – 5:30pm.



Mailing Address

Your address should be pre-populated with information from your existing AUSIEX Share Trading Account. If your mailing address differs from your residential address, you can enter the details in the screen below.

If your mailing address is the same as your residential address, you can leave this page blank and click through to the next screen.

Street Address	Address Line 2
City	Postal Code
Country/Region	State/Province/Territory

U.S. Taxpayer Identification Number

If you are an Australian Tax Resident, please **do not** enter your Australian Tax File Number (TFN). If appropriate, enter a U.S. Taxpayer Identification Number (TIN). If you do have a U.S. TIN, you are ineligible for an International Markets account.

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U.S. Taxpayer Identification Number (U.S. TIN) U.S. TIN U.S. TIN Type SSN ITIN The account holder does not have a U.S. TIN.

Tax Residence

You will need to provide details about your tax residency and foreign TIN. Please choose your country of tax residence. A TIN is 'Not Legally Required' for Australian residents.

For non-Australian tax residents please enter your foreign TIN.

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ax Residence		
Country/Region of Tax Residence	Tax ID Number	
Australia		

▼ The account holder does NOT have a TIN for this country and/or is not legally required to provide a TIN.

Birth Details

Next, you will need to provide details about your place of birth and date of birth. Your date of birth will be pre-populated with information from your existing AUSIEX Share Trading Account. If your date of birth is incorrect, please contact our International Markets desk to discuss on **1800 252 351** or email us at international@ausiex.com.au between Monday – Friday, 8:30am – 5:30pm.

Please choose your country or region of birth from the drop-down list.

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Place of Birth

 Country/Region of Birth
 Date of Birth

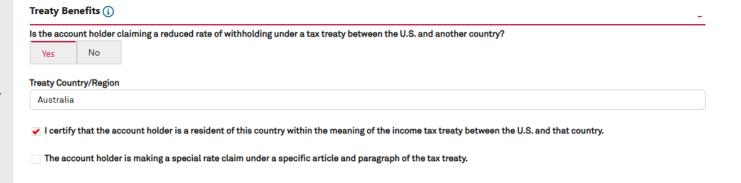
 Australia
 01/01/1901

Treaty Benefits

In this section, you will need to specify your eligibility for tax treaty benefits. Your treaty country should match your country of tax residency.

If you choose not to claim tax treaty benefits, a higher withholding rate may be applied to amounts payable to you, which must be forwarded to the IRS. For more information about your eligibility for treaty benefits, please consult your tax adviser.

Please note that there is an existing tax treaty between Australia and the U.S.



Acceptance & Signature

In the final step, you will proceed to the E-Sign Acceptance page. Here, you have the option to preview your completed W8 form in PDF. Please ensure to carefully review the form to confirm all the information you entered is accurate and complete. If you identify any errors, you can go back to make the necessary changes.

Once you are satisfied that everything is correct, read the information provided. Make the appropriate selections and enter your name in the 'Electronic Signature' boxes. Your name must match the name on your AUSIEX Share Trading Account.

Finally, select 'Complete' to submit your W-8BEN form.

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Instructions

You are required to provide a W-8BEN to document your tax status for U.S. tax purposes. A copy of the W-8BEN instructions can be downloaded here. If you believe this is the incorrect tax form, click "Back" to select a different tax form.

Please provide the information requested below to submit an electronic copy of your tax form. If you have any questions about the electronic form submission process, please contact the requester of this form. If you have any questions about how to answer the questions below, please consult your tax adviser.

E-SIGN Acceptance

▼ I agree to sign the document(s) electronically under the terms of the E-Sign Act and certify I have capacity to sign for the account holder.

Sign Form W-8BEN(Preview Form)

- Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:
 - I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income or proceeds to which
 this form relates or am using this form to document myself for chapter 4 purposes;
 - . The person named on line 1 of this form is not a U.S. person;
 - . This form relates to:
 - (a) income not effectively connected with the conduct of a trade or business in the United States;
 - (b) income effectively connected with the conduct of a trade or business in the United States but is not subject to tax under an applicable income tax treaty;
 - (c) the partner's share of a partnership's effectively connected taxable income; or
 - (d) the partner's amount realized from the transfer of a partnership interest subject to withholding under section 1446(f);
 - The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country; and
 - . For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner.

I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.

Given Name of Signer JOHN Family Name of Signer SMITH Back Complete



Need help?

If you have questions, please contact your adviser.