

i Use this form to transfer existing international securities to or from a share trading account in exactly the same name as your AUSIEX International Markets account.

Checklist for International Securities Transfers:

- The securities are electronically registered and are currently with a broker/registry who can complete international transfers.
- Stock to be transferred is tradeable. Supported markets and exchanges are at AUSIEX’s discretion. This can be confirmed by typing your security into the order pad and confirming it appears.
- You have the International Security Identification Numbers (ISINs) for the securities and have attached recent copies of your International Holding Statement(s).
- The securities are being transferred from, or transferred to, an account with the underlying same name and legal entity type – i.e., there is no change in beneficial ownership, notwithstanding any change of custodian required to transfer stock to, or from, your AUSIEX International Markets trading account.
- The AUSIEX International Markets trading account is active and unrestricted.
- All security holders requesting the share transfer have signed this document. For Joint Accounts both holders of the account are required to sign using a wet signature. For company accounts with two or more directors, either both directors sign, or one director and the company secretary sign.
- You have no outstanding orders or pending trade settlements for the shares you wish to transfer.

Please transfer the securities as to/from the custodian/sub-custodian to/from the broker/registry listed below, under International Markets Account Details.

1. International Markets Account Details

International Markets Trading Account Number	International Markets Trading Account Name
<input type="text"/>	<input type="text"/>

Account Designation – *if applicable*

i Please include the full name including brackets. E.g., <A and B Superfund A/C>

2. Your Contact Details

Phone Number	Email address
<input type="text"/>	<input type="text"/>

3. Security Details

Company Name	Quantity	International Security Identification Number (ISIN)
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

i To transfer more than 8 different holdings, photocopy this page, complete and attach it to this form.

4. Delivering Instructions – Shares moving from

Delivering Firm's Name

Delivering Firm's Address

Clearing Number (if known) – DTC, Crest ID, CCASS

Standard Settlement Instructions (if known)

Delivering Firm's phone number

Delivering Firm's email address

Delivering Account Name

Delivering Account Number

5. Receiving Instructions – Shares moving to

Receiving Firm's Name

Receiving Firm's Address

Clearing Number (if known) – DTC, Crest ID, CCASS

Standard Settlement Instructions (if known)

Receiving Firm's phone number

Receiving Firm's email address

Receiving Account Name

Receiving Account Number

6. Declaration & Signature/s

By completing and signing this application, I/we acknowledge and agree that:

- I/We instruct AUSIEX to transfer the above listed security/ies to/from my AUSIEX International Markets account as detailed in the Delivering and Receiving instructions above.
- My/Our international securities transferred in will be held in the custody of an international custodian, appointed by AUSIEX and I/we will retain beneficial ownership (but not legal ownership) of our international securities.
- I/We understand that the name of the international custodian of international securities transferred in will appear on the share registry as a legal owner rather than my/our own name.
- I/We hereby certify that the transfer of securities does not involve a change of beneficial ownership.

Account Holder/Director/Secretary/Trustee 1

Full name Date – DD / MM / YYYY / /


Signature – *Must be signed pen to paper*

Account Holder/Director/Secretary/Trustee 2

– *If applicable*

Full name Date – DD / MM / YYYY / /

Signature – *Must be signed pen to paper*

 If there are more than 2 authorised signatories, please photocopy this page, complete and attach to the form.


The AUSIEX International Markets service (“International Markets”) is an international share trading, nominee and custody service provided by Australian Investment Exchange Limited (“AUSIEX”) ABN 71 076 515 930, AFSL 241400, a wholly owned subsidiary of Nomura Research Institute, Ltd. (“NRI”).

How to submit your documents

Clients

Please provide your completed and signed form with relevant supporting documents to your adviser.

If you are required to send Original Certified Copies of documents please send via post to:

 **AUSIEX, Locked Bag 3005, Australia Square NSW 1215**

 1800 252 351  service@ausiex.com.au  ausiex.com.au

Advisers

Lodge this form and all supporting documents
ausiex.com.au > Administration > eSubmit

