

**1. Additional/Assistant Adviser Details**

**A. Dealer Group Details – Select one only**

<input type="radio"/>	AFSL holder			
	AFSL number	Licensee name	Full company name – <i>As registered by ASIC</i>	
<input type="radio"/>	<b>OR</b> Non-AFSL holder			
	Full company name – <i>As registered by ASIC</i>		Australian Company Number – ACN	

**B. Additional/Assistant Adviser Details**

Mr   
  Ms   
  Mrs   
  Miss   
  Dr   
  Other   

First name	Middle name/s	Surname	Date of birth – DD / MM / YYYY	
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Other name/s commonly known by – <i>If applicable</i>		Authorised representative number	Adviser’s company name	
<input type="text"/>		<input type="text"/>	<input type="text"/>	

**C. Additional/Assistant Adviser Contact Details**

**Residential Address of the Adviser**

Street address – *Cannot be a PO Box*

Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Registered Address of the Business**

Street address – *Cannot be a PO Box*

Suburb	State	Postcode
<input type="text"/>	<input type="text"/>	<input type="text"/>
Country		
<input type="text"/>		

**Postal Address of the Business**  Same as registered address

Street address

Suburb	State	Postcode
<input type="text"/>	<input type="text"/>	<input type="text"/>
Country		
<input type="text"/>		

Mobile number

Work number – *Optional*

Email address

**2. Sole Trader Information**

Complete this section if you are a sole trader.

Full business name – <i>If any</i>	Australian Business Number (ABN) – <i>Optional</i>
<input type="text"/>	<input type="text"/>

**Principle Place of Business**

Street address – *Cannot be a PO Box*

Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**3. Additional/Assistant Adviser Setup Details**

By completing this section you will gain access to the nominated primary adviser's clients.

**A. AUSIEX Trading**

I would like to link to an existing primary adviser trading account with:  View-only access  Full trading rights

*i* If full trading rights is selected, the additional assistant adviser must be authorised to deal in securities.

Name of existing primary adviser you wish to have access to	Existing primary adviser number, adviser code or username
<input type="text"/>	<input type="text"/>

**Important Information**

AUSIEX and the CBA Group will continue to work closely with each other leading up to and after the sale to support each other's systems during a period of transition and separation, including access to AUSIEX and CBA Group information, to perform their respective roles relating to your products and to continue to offer you a seamless customer experience.

**B. CommSec Adviser Services**

I would like to register as an additional/assistant adviser for **Cash Management** with:  View-only access

Name of existing primary adviser you wish to have access to	Existing primary adviser number, adviser code or username
<input type="text"/>	<input type="text"/>

To obtain full authority to operate the Accelerator Cash Account, complete the 'Adviser Authority to Operate Form'.

I would like to register as an additional/assistant adviser for **Investment Lending** with:  View-only access

Name of existing primary adviser you wish to have access to	Existing primary adviser number, adviser code or username
<input type="text"/>	<input type="text"/>

I would like to register as an additional/assistant adviser for **CALIA+** with:  View-only access

Name of existing primary adviser you wish to have access to	Existing primary adviser number, adviser code or username
<input type="text"/>	<input type="text"/>

**4. Login Details for Online Access**

By completing this section you will gain access to the nominated primary adviser's clients.

Temporary login password – *8 to 16 alphanumeric characters*

*i* Please make a note of the temporary password you have nominated. You will be prompted to change your temporary password when first logging into the website.

**5. Acknowledgements**

I/We understand and acknowledge that the law requires applicants to provide true and correct information and state all the names by which they are commonly known. I/We also understand that the law prohibits the use of false names, as well as the giving, use or production of false or misleading information on documents in connection with an identification procedure. I/We declare that the information I/we have provided in this form is true and correct.

I/We declare:

- The additional/assistant adviser nominated has appropriate qualifications, training and experience;
- The additional/assistant adviser is an authorised representative of the Dealer Group;
- I/We have the relevant authorisations from the Dealer Group for the services applied for under this form;
- Where full trading rights has been nominated, the additional/assistant adviser is authorised to deal in securities;
- A copy of the Dealer Group Agreement and/or Dealer Terms of Trade has been provided to the additional/assistant adviser; and
- I/We have read and agree to the terms in the Dealer Group Agreement and/or Dealer Terms of Trade which relate to the provision of the nominated services.

I/We acknowledge that I/we am/are responsible to promptly inform AUSIEX and where applicable, the Bank if there is any change to my/our status as an authorised representative of an AFS licensee,

or as a credit licensee or as a Director/Employee or as a credit representative of a credit licensee as applicable.

I/We consent to the collection, use and exchange of our/my personal information for the purposes of managing and maintaining my/our relationship with you, including confirming my/our identity, communicating with me/us, and complying with relevant laws and regulations.

I/We understand that personal information collected, used or shared (including with third parties) will be in accordance with the terms outlined in the AUSIEX Privacy Policy available from the AUSIEX and where applicable, CommSec Adviser Services website. I/We have read through your Privacy Policy as it contains important information on how AUSIEX and where applicable, the Bank handles personal information and details on how to raise privacy concerns and complaints.

I/We have read and agree to accept and abide by the Participant's Terms and Conditions and Terms of Access for the relevant product or service prior to receiving any financial service. These, along with other legal documents are available on AUSIEX's and where applicable, CommSec Adviser Services' website and I/we agree to access these documents from the website. Where applicable, I/we authorise AUSIEX to share my/our personal information to CAS for the purpose of giving effect to the instructions contained in this form.

**Additional Adviser/Assistant Adviser Signature**

Full name  Date – DD / MM / YYYY  /  /

Signature – *Must be signed pen to paper*

**Primary Adviser Signature**

Full name  Date – DD / MM / YYYY  /  /

Signature – *Must be signed pen to paper*

**Where to from here?**

Please attach copies of the following documents:

**All applicants**

Certified copy of Driver Licence or Passport

**AFSL holders only**

Authorised Representative Certificate or Employee Representative Certificate

Share Trading is a service provided by Australian Investment Exchange Ltd (AUSIEX, the Participant, we, us, our) ABN 71 076 515 930 AFSL 241400.

AUSIEX is a market participant of ASX & Chi-X Australia, a clearing participant of ASX Clear Pty Limited and a settlement participant of ASX Settlement Pty Limited.

CommSec Adviser Services (CAS) is brand of Commonwealth Bank of Australia (CBA or the Bank) ABN 48 123 123 124 and Australian credit licence 234945. The Accelerator Cash Account is a deposit product issued by CBA and administered by its wholly owned but non-guaranteed subsidiary Commonwealth Securities Limited (CommSec) ABN 60 067 254 399 AFSL 238814, market participant of ASX & Chi-X Australia, a clearing participant of ASX Clear Pty Limited and a settlement participant of ASX Settlement Pty Limited, under the CAS brand. Lending products are provided by CBA. Investment Loans are administered by CommSec.

**How to submit your documents**

**Advisers**

Lodge this form and all supporting documents securely via eSubmit. Go to: [ausiex.com.au](https://ausiex.com.au) > Administration > eSubmit

If you are required to send Original Certified Copies of documents please send via post to:

 **AUSIEX**  
**Locked Bag 3005**  
**Australia Square NSW 1215**

