

1. Closure of Trading Account

I/We authorise and direct the Participant to close my/our accounts as set out below.

Trading account number

Closure of a trading account will result in any linked Options account being closed and the associated Sponsorship Agreement¹ and Holder Identification Number (HIN)² terminated.

Registered name on trading account

I/We hereby request closure of the above trading account and:

Request the remaining stock in the above account be converted to issuer sponsorship **OR**
 Request the remaining stock in the above account be transferred to the sponsorship of the following broker:

Broker name Broker Participant Identification Number (PID) Holder Identification Number (HIN)

i To transfer your stock to another broker, you must contact the receiving broker to initiate the transfer. We require instruction from the receiving broker to release the securities.

2. Declaration and Acknowledgment

I/We hereby instruct the Participant to close my/our account/s as indicated on this form.

Account Holder/Director/Trustee 1

Full name Date signed – DD / MM / YYYY / /

Signature – *Must be signed pen to paper*

Account Holder/Director/Trustee 2

Full name Date signed – DD / MM / YYYY / /

Signature – *Must be signed pen to paper*

¹ Under the ASX Settlement Operating Rules, a Sponsorship Agreement is a written agreement between a Sponsoring Participant (e.g., Australian Investment Exchange Limited) and another person (e.g., you).

² A Holder Identification Number, or 'HIN' is the unique number usually assigned to your account by the Australian Securities Exchange (ASX) when you become a client of a broker.

Share trading is a service provided by Australian Investment Exchange Limited ABN 71 076 515 930, AFSL 241400 (AUSIEX, the Participant, we, us, our), a wholly owned subsidiary of Nomura Research Institute, Ltd. ("NRI"). AUSIEX is a Market Participant of ASX Limited ("ASX") and Cboe Australia Pty Ltd ("Cboe"), a Clearing Participant of ASX Clear Pty Limited and a Settlement Participant of ASX Settlement Pty Limited.

How to submit your documents

Clients

Please provide your completed and signed form with relevant supporting documents to your adviser.

☎ 1800 252 351 ✉ service@ausiex.com.au 🌐 ausiex.com.au

Advisers

Lodge this form and all supporting documents
ausiex.com.au > Administration > eSubmit

