

**i** This form uses JavaScript for optimal performance. Please ensure you have this enabled if completing electronically.

Please refer to the end of this document for submission instructions and [explanatory notes](#).

**Guide to completing this form**

All customers are required by law to provide details of their country/ies of tax residency to their financial institution so these can be passed back to the relevant tax authority.

Complete the following sections: **Personal Details, Tax Residency Information and Customer Declaration.**

Use clear **BLOCK** letters.

**1. Personal Details – Complete all fields**

Mr   
  Ms   
  Mrs   
  Miss   
  Dr   
  Other   

First name    
 Middle name/s    
 Surname    
 Date of birth – DD / MM / YYYY  /  /

Residential address – *Cannot be a PO box*

Suburb    
 State    
 Postcode

**2. Tax Residency Information**

**Instructions for completion:**

- List all your countries of tax residency and your TIN for that country or 'Reason Code' if no TIN is available.
- If you list Australia as a country of tax residency, select 'Reason Code' C in the TIN column.
- If you are a US citizen or resident you must include USA as a country of tax residency.
- Tax residency information is not required for Publicly Listed Companies or Company Trustees.

For further instructions on how to complete this section see [explanatory notes #1](#) at the end of this application.

| Country/ies of Tax Residency           |                      | Tax Identification Number (TIN)   |    |              |   |
|--|----------------------|---|----|--------------|---|
| List all country/ies of tax residency. |                      | 1. Provide either TIN; OR<br>2. Select a 'Reason Code' if no TIN:<br>A. My country of tax residency does not issue TINs<br>B. I haven't been issued with a TIN by my country of tax residency<br>C. My country of tax residency doesn't require disclosure of a TIN |    |              |   |
| 1                                      | <input type="text"/> | TIN: <input type="text"/>   | OR | Reason Code: | <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C |
| 2                                      | <input type="text"/> | TIN: <input type="text"/>   | OR | Reason Code: | <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C |
| 3                                      | <input type="text"/> | TIN: <input type="text"/>   | OR | Reason Code: | <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C |

### 3. Declaration

#### Customer Declaration

- I confirm that above information is true and correct and that I will promptly advise AUSIEX if the information changes.
- I certify that I am the named person or am authorised to provide this information on their behalf.

Full name

Date – DD / MM / YYYY

 /  / Signature – *Must be signed pen to paper*

### Explanatory Notes

#### 1. Tax Identification Number (TIN)

If you are a tax resident of another country, you will be asked for your Tax Identification Number (TIN) issued to you in that country, if you have one.

TIN is an international term which may have a different name in some countries.

A compilation of links to information about TINs for many countries can be found here: <http://www.oecd.org/>

Examples are Tax File Number (TFN) in Australia, Social Insurance Number (SIN) in Canada, Unique Taxpayer Reference (UTR) or National Insurance Number (NINO) in United Kingdom, Permanent Account Number (PAN) in India, IRD Number in New Zealand, and Tax Reference Number (TRN) in Malaysia.

**Return to:** [Section 2: Tax Residency Information](#)

## How to submit your documents

#### Clients

Please provide your completed and signed form with relevant supporting documents to your adviser.

#### Advisers

Lodge this form and all supporting documents  
[ausiex.com.au](http://ausiex.com.au) > Administration > eSubmit

