

4. Declaration & Signature/s

I/We:

- Authorise the Participant to transfer the holding/s specified above into my trading account as CHESSE Participant sponsored holding/s in accordance with the Terms and Conditions of Participant Sponsorship Agreement.
- Agree to be sponsored by the Participant under the terms of the Participant Sponsorship Agreement. I/We have read and agree to accept and abide by the terms of the Agreement, and have been supplied with, read and understood the written explanation of the implications of those terms.
- In the event of any mismatch of registration details I authorise the Participant to make changes to my Holder Identification Number (HIN) registration details in accordance with the information on my Trading Account.

**Account Holder/Director/Secretary/
Trustee 1**

Full name

Date – DD / MM / YYYY

 / /

Signature – *Must be signed pen to paper*

**Account Holder/Director/Secretary/
Trustee 2 – If applicable**

Full name

Date – DD / MM / YYYY

 / /

Signature – *Must be signed pen to paper*

**Account Holder/Director/Secretary/
Trustee 3 – If applicable**

Full name

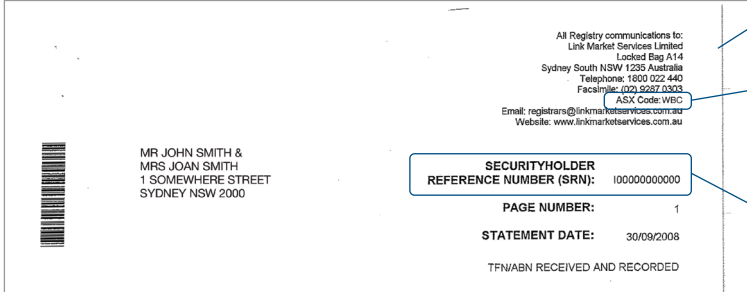
Date – DD / MM / YYYY

 / /

Signature – *Must be signed pen to paper*

Tips for a Successful Transfer

Sample of an Issuer Sponsored Holding Statement

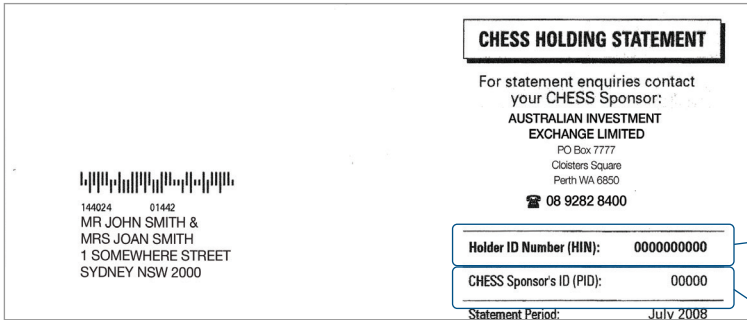


Issuer Sponsored Holdings are maintained by the Share Registry on behalf of the Issuer of your shares.

To convert your Issuer Holding we need to know the ASX Code and the number of units you would like us to convert.

Securityholder/Shareholder Reference Number (SRN) is a unique number that identifies you as an Issuer Sponsored holder with a listed company. An SRN usually starts with the letter 'I' e.g. I00012345678.
If you hold shares in more than one company and choose to be Issuer Sponsored, you will have a different SRN for each shareholding.

Sample of a CHESSE Holding Statement



Broker Sponsored Holdings If your shares are Broker Sponsored, you will have a Holder Identification Number (HIN), which starts with an 'X'. You can be CHESSE sponsored by more than one broker or institution, and you can even maintain holdings in the same security under different sponsorships.

Holder Identification Number (HIN) is a unique number that you are issued when you are CHESSE/ Broker Sponsored Holder. Your HIN can register multiple securities under the one HIN. A HIN starts with the letter X and is followed by ten numbers e.g. X0002468123.

PID is an acronym for Participant Identification Number. This is the Broker's identification number. Our PID is 6381.

Share Trading is a service provided by Australian Investment Exchange Ltd (AUSIEX, the Participant, we, us, our) ABN 71 076 515 930 AFSL 241400. AUSIEX is a market participant of ASX & Chi-X Australia, a clearing participant of ASX Clear Pty Limited and a settlement participant of ASX Settlement Pty Limited.

How to submit your documents

Clients

Once completed and signed, please scan and return the form to
✉ support@ausiex.com.au

📞 1800 252 351 ✉ service@ausiex.com.au 🌐 ausiex.com.au

Advisers

Lodge this form and all supporting documents
ausiex.com.au > Administration > eSubmit

