

i To be completed by existing advisers only, when moving to a new Dealer Group.

1. Adviser Details

Dealer Group Details – Please select one only

<input type="radio"/>	AFSL holder		
	AFSL number	Licensee name	
<input type="radio"/>	OR Non-AFSL holder		
	Full company name – As registered by ASIC	Australian Company Number – ACN	

Business Development Manager Details – Optional

Business Development Manager name

Adviser Details

Mr
 Ms
 Mrs
 Miss
 Dr
 Other

First name	Middle name/s	Surname	Date of birth – DD / MM / YYYY
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>

Other name/s commonly known by – If applicable	Authorised representative number
<input type="text"/>	<input type="text"/>

Adviser Contact Details

Email address

Mobile number	Home number – Optional	Work number – Optional
<input type="text"/>	<input type="text"/>	<input type="text"/>

Residential Address of the Adviser

Street address – Cannot be a PO Box

Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Registered Address of the Business

Street address

Suburb	State	Postcode
<input type="text"/>	<input type="text"/>	<input type="text"/>

Country

Postal Address of the Business

Same as registered address

Street address

Suburb	State	Postcode
<input type="text"/>	<input type="text"/>	<input type="text"/>

Country

2. Sole Trader Information

Complete this section if you are a sole trader

Full business name – *If any*

ABN – Australian Business Number – *Optional*

Principle Place of Business

Street address

Suburb

State

Postcode

Country

3. Acknowledgements

I understand and acknowledge that the law requires applicants to provide true and correct information and state all the names by which they are commonly known. I also understand that the law prohibits the use of false names, as well as the giving, use or production of false or misleading information on documents in connection with an identification procedure. I declare that the information I have provided in this form is true and correct.

I declare:

- I have appropriate qualifications, training and experience;
- I am an authorised representative of the Dealer Group; and
- a copy of the Dealer Group Agreement and/or Dealer Terms of Trade has been provided to me.

I acknowledge that I am responsible to promptly inform AUSIEX and where applicable, The Bank if there is any change to my status as an authorised representative of an AFS licensee, or as a Credit licensee or as a Director/ Employee or as a credit representative of a credit licensee as applicable.

I consent to the collection, use and exchange of my personal information as set out in the Customer Information and Privacy sections of the terms and conditions for the relevant products and services. Where applicable, I authorise AUSIEX to share my personal information to CAS for the purpose of giving effect to the instructions contained in this form.

I have read and agree to accept and abide by the Participant's Terms and Conditions and Terms of Access and, where applicable, the Bank's Terms and Conditions for the relevant product or service prior to receiving any financial service. These, along with other legal documents are available on the AUSIEX and where applicable, CommSec Adviser Services website and I agree to access these documents from the website.

Important Information

AUSIEX and the CBA Group will continue to work closely with each other leading up to and after the sale to support each others' systems during a period of transition and separation, including access to AUSIEX and CBA Group information, to perform their respective roles relating to your products and to continue to offer you a seamless customer experience.

Adviser Full Name

Date – DD / MM / YYYY

Signature – *Must be signed pen to paper*

Where to from here?

I have attached copies of the following documents:

AFSL holder

<input type="checkbox"/>	Authorised Representative Certificate or Employee Representative Certificate; and
<input type="checkbox"/>	Release Letter or Signed Client Letters

Non-AFSL holder

<input type="checkbox"/>	Release Letter or Signed Client Letters
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Share Trading is a service provided by Australian Investment Exchange Ltd (AUSIEX, the Participant, we, us, our) ABN 71 076 515 930 AFSL 241400. AUSIEX is a market participant of ASX & Chi-X Australia, a clearing participant of ASX Clear Pty Limited and a settlement participant of ASX Settlement Pty Limited. CommSec Adviser Services (CAS) is brand of Commonwealth Bank of Australia (CBA or the Bank) ABN 48 123 123 124 and Australian credit licence 234945. The Accelerator Cash Account is a deposit product issued by CBA and administered by its wholly owned but non-guaranteed subsidiary Commonwealth Securities Limited (CommSec) ABN 60 067 254 399 AFSL 238814, a market participant of ASX & Chi-X Australia, a clearing participant of ASX Clear Pty Limited and a settlement participant of ASX Settlement Pty Limited, under the CAS brand. Lending products are provided by CBA. Investment Loans are administered by CommSec.

How to submit your documents

Advisers

Lodge this form and all supporting documents
ausiex.com.au > Administration > eSubmit

 1800 252 351  service@ausiex.com.au  ausiex.com.au

