

i This form uses JavaScript for optimal performance. Please ensure you have this enabled if completing electronically. Refer to the end of this document for submission instructions.

1. Service Selection and Account Details

Which service are you nominating a new adviser for, or removing an existing adviser from?

All applicable services relating to username Username

i This is your client login ID for the AUSIEX website. If unknown, call us on **1800 252 351** to obtain.

AUSIEX Share Trading including linked Exchange Traded Options (ETOs) – *If applicable*

Account name	Trading account number
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

2. New Adviser Details

Before you appoint a new servicing adviser, the adviser must be registered with AUSIEX. To register, your adviser should contact us on the details provided at the end of this form.

Adviser number, adviser code or username	Dealer Group name	
<input type="text"/>	<input type="text"/>	
Adviser name	Email	
<input type="text"/>	<input type="text"/>	
Work number	Mobile number	Date of appointment – DD / MM / YYYY
<input type="text"/>	<input type="text"/>	<input type="text"/> / <input type="text"/> / <input type="text"/>

Please note:

The following account access will be provided to your new adviser:

- Share Trading – Full Trading Rights
- Exchange Traded Options – Full Trading Rights

3. Client Declaration and Signature/s

All account holders must sign this declaration.

1. I/We declare that all details provided in this form are true and correct. I/We undertake to inform you of any changes to the information supplied, as and when they occur.
2. I/We consent to my/our details being changed as indicated in this form.

3. I/We authorise you to provide access to my/our account/s to my new adviser.
4. I/We acknowledge that the new adviser will have all authority on my/our account/s as described in the relevant disclosure document.

Account Holder/Director/Trustee 1


Full name Date – DD / MM / YYYY / /

Signature – *Must be signed pen to paper*

Account Holder/Director/Secretary/Trustee 2

Full name Date – DD / MM / YYYY / /

Signature – *Must be signed pen to paper*

 If there are more than 2 authorised signatories, please photocopy this page and attach to the form.

Share Trading is a service provided by Australian Investment Exchange Limited (AUSIEX, the Participant, we, us, our) ABN 71 076 515 930 AFSL 241400, a Market Participant of the ASX Limited and Cboe Australia Pty Ltd, a Clearing Participant of ASX Clear Pty Limited and a Settlement Participant of ASX Settlement Pty Limited.

How to submit your documents

Clients

Please provide your completed and signed form with relevant supporting documents to your adviser.

Advisers

Lodge this form and all supporting documents **ausiex.com.au > Administration > eSubmit**



 1800 252 351  service@ausiex.com.au  ausiex.com.au