

 This form uses JavaScript for optimal performance. Please ensure you have this enabled if completing electronically.

To buy Partly Paid Securities on your trading account please complete and sign the Partly Paid Security Client Agreement Form, and return by eSubmit using the details provided at the end of this form.

Partly Paid Securities, or Instalment Receipts, are securities for which the holder may have a legal obligation to pay a call or instalment in accordance with the terms of issue for the balance of the previously uncalled issue price.

This form is not required if you want to buy partly paid securities issued by no liability ('NL') companies, as NL companies do not have a contractual right to recover calls on the unpaid issue price of their shares; therefore you have the option of paying the call or forfeiting the shares.

Words used in this Agreement have the meanings given to them in the ASIC Market Integrity Rules (ASX Market) 2010, or the ASX Operating Rules (as amended from time to time).

If you require more information on Partly Paid Securities or would like assistance completing the form, please contact us on the telephone number provided at the end of this form.

Authorities

I/We

Full name

Residential Address

Street address – *Cannot be a PO Box*

Suburb

State

Postcode

Country – *If not Australia*

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Trading account number

hereby declare that:

1. I/We am/are aware that a Partly Paid Security is a security which may require me/us to make a further payment or payments at some time in the future.
2. I/We am/are aware that it is my/our responsibility to obtain and read a copy of the prospectus, product disclosure statement or information memorandum issued by an Issuer which sets out the particular features of, and rights and obligations attaching to, a Partly Paid Security before I/we place an order to buy a Partly Paid Security.
3. I/We am/are aware that I/we may be liable for further payments on a Partly Paid Security and that a failure to make a further payment by the specified date/s may result in an Issuer of a Partly Paid Security or their associates or agents taking action, including legal action, against me/us to recover the outstanding payments and/or may result in the forfeiture of my entitlement to the Partly Paid Security.
4. I/We am/are aware that in certain circumstances I/we may be liable to make a further payment on a Partly Paid Security despite the fact that I/we may have disposed of a Partly Paid Security prior to the date that a further payment falls due.
5. I/We am/are aware that I/we should monitor announcements made by the Issuer of a Partly Paid Security and that it is my/our responsibility to inform myself/ourselves of the date/s or circumstances that a further payment falls due and the last day that I/we can dispose of the Partly Paid Security before I/we am/are liable for a further payment.
6. I/We am/are aware that the amount of a further payment may be unrelated to the financial performance of a Partly Paid Security and that the amount of the further payment may exceed the intrinsic value of a Partly Paid Security at the time a further payment falls due.
7. I/We acknowledge that an obligation on me/us in relation to a Partly Paid Security, including an obligation to make a further payment, does not give rise to a claim against the ASX or the Securities Exchange Guarantee Corporation Limited.

Applicant 1 – Individual/Director/Sole Director & Sole Company Secretary

Full name

Date – DD / MM / YYYY

 / /

Signature – *Must be signed pen to paper*

Applicant 2 – Individual/Director/ Company Secretary

Full name

Date – DD / MM / YYYY

 / /

Signature – *Must be signed pen to paper*

Applicant 3 – Individual/Director

Full name

Date – DD / MM / YYYY

 / /

Signature – *Must be signed pen to paper*

Signed in my presence by the said:

Witness name

Date – DD / MM / YYYY

 / /

Witness signature – *Must be signed pen to paper*

If Client is a Company:

The COMMON SEAL of

Was affixed hereto in accordance with its constitution and in the presence of:

- Two directors or by a director and Company Secretary **OR**
- In the case of a proprietary company with a sole director who is also the sole Company Secretary, by that director in accordance with *section 127 (1) of the Corporations Act*.

Share Trading is a service provided by Australian Investment Exchange Ltd (AUSIEX, the Participant, we, us, our) ABN 71 076 515 930 AFSL 241400. AUSIEX is a market participant of ASX & Chi-X Australia, a clearing participant of ASX Clear Pty Limited and a settlement participant of ASX Settlement Pty Limited.

How to submit your documents

Clients

Please provide your completed and signed form with relevant supporting documents to your adviser.

Advisers

Lodge this form and all supporting documents ausiex.com.au > Administration > eSubmit

