

1. Client Details

Australian Share Trading account number

International Markets Trading account number

Cash account number

Note: If you have an Exchange Traded Options account, the updates will automatically align with those on your Australian Share Trading account

Account name

2. Update/Change the bank account to fund and withdraw from your AUSIEX Cash Account

The bank account listed below will be used to fund your AUSIEX Cash Account. Deposits to and withdrawals from your AUSIEX Cash Account will be processed via the below listed nominated account. Trade settlement will occur through your AUSIEX Cash Account, not directly from the below listed bank account. The account holder/s will be notified via email when a transfer of funds occurs in relation to the below listed bank account.

I/We authorise and request Australian Investment Exchange Limited (AUSIEX) to arrange for funds to be debited from and credited to the below listed bank account, and as prescribed below through the Bulk Electronic Clearing System (BECS). This authorisation is to remain in force in accordance with the terms described in the Direct Debit and Direct Credit Request Service Agreement.

Account name

BSB

Account number

3. Method of Operation

Select how withdrawals from your AUSIEX Cash Account are authorised. Choose whether one or more account holders are to authorise a withdrawal.

- Any account holder to authorise a withdrawal
- All account holders to authorise a withdrawal

4. Adviser access to your AUSIEX Cash Account

Select the level of access to be provided to your Adviser.

Adviser name

- No Access**
No access will be provided to your Adviser to view or transact on the AUSIEX Cash Account. However, your Adviser will be able see the AUSIEX Cash Account balance where it is displayed within a linked trading account.
- View Only Access**
This will allow your Adviser to view transactions and balances of your AUSIEX Cash Account. No transaction authority will be granted, and your adviser will not be able to transact on your AUSIEX Cash Account on your behalf.
- View and Transact Access**
This will allow your Adviser to view transactions and balances of your AUSIEX Cash Account and to initiate deposits and withdrawals. If you select this option, your Adviser's authority to initiate withdrawals overrides the Method of Operation selected above. No further approval from account holder/s will be required.

5. Close your AUSIEX Cash Account

I/We authorise and direct AUSIEX to close my/our AUSIEX Cash accounts as set out in Section 1 above. Closure of this AUSIEX Cash Account will result in any balance held in the account to be transferred to the nominated bank account I/we have selected for a withdrawal from the AUSIEX Cash Account.

To continue trading with your Australian Share Trading account and/or International Markets Trading account, another nominated bank account will need to be supplied. The nominated bank account must be in the same entity name as your trading account.

I/We authorise and request Australian Investment Exchange Limited (AUSIEX) to arrange for funds to be debited from and credited to the below account and as prescribed below through the Bulk Electronic Clearing System (BECS). This authorisation is to remain in force in accordance with the terms described in the Direct Debit and Direct Credit Request Service Agreement.

Account name	BSB	Account number
<input type="text"/>	<input type="text"/>	<input type="text"/>

Pay my dividends directly to the above listed bank account.
We will automatically send your BSB and Account Number to the share registries for all existing and new holdings each time you purchase securities on your CHESSE Sponsored Share Trading Account with the Participant.

Not all companies or share registries support electronic payment of dividends or their direction. If you hold shares in one of these companies, you may still receive dividend cheques to your registered address.

 If you would like to close your Share Trading account/s, complete the Account Closure form. Your AUSIEX Cash Account will simultaneously be closed.

6. Declaration & Signature/s

If you have requested a change to your nominated bank account/s, you declare the following:

I/we understand and acknowledge that:

- My/our financial institution's approval who has absolute discretion to decide the order in which it will pay moneys under this request, or any other request, authority or mandate and I/we must contact my/our financial institution;
- My/our financial institution's approval who, has absolute discretion to refuse to honour this request at any time and I/we must contact our financial institution for further information if they refuse my request;
- I/we may vary the amount or frequency of future debits to meet amounts payable under the Services.
- This request operates on the terms of the Direct Debit and Direct Credit Request Service Agreement found in the Share Trading Terms and Conditions and/or International Markets Trading Terms and Conditions available from the website which I/we have read and agree that;
- I/we consent to the collection, use and exchange of my/our personal information as set out in the Customer Information and Privacy section of the Share Trading Terms and Conditions and/or International Markets Trading Terms and Conditions;
- the bank account details are correct and have been checked against a recent account statement from the nominated financial institution.

I/we authorise and request AUSIEX and/or the Participant (APCA ID. Direct Debit 683546, Direct Credit 683543) to arrange for funds to be debited from and credited to the account as prescribed in Section 2 through the Bulk Electronic Clearing System (BECS). I/we acknowledge and agree that these debits will be made to facilitate the funding of my/our AUSIEX Cash Account as directed by me/us or an Authorised Operator.

I/we authorise and request, the Participant (APCA ID. Direct Debit 093992, Direct Credit 093993) to arrange for funds to be debited from and credited to my/our account at the financial institution specified in Section 5 and as prescribed below through the Bulk Electronic Clearing System (BECS). I/we acknowledge and agree that these debits will be processed for amounts owing to us or as directed by me/us or an Authorised Operator.

This authority permits the Participant to process such debits in accordance with the drawing arrangements notified to You under this Direct Debit Agreement

This authorisation is to remain in force in accordance with the terms described in the Direct Debit and Direct Credit Request Service Agreement contained in the Participant's Share Trading Terms and Conditions and/or International Markets Trading Terms and Conditions available from the trading site.

I/we agree for AUSIEX to remit funds to my/our choice of nominated bank account.

I/we authorise and agree the following:

- AUSIEX will verify the details of the account recorded in this form with my/our financial institution.
- The financial institution to release information allowing AUSIEX to verify the account details mentioned in this form.

I/we understand, acknowledge and declare:

- that it is my/our obligation to be aware of any activity undertaken on my/our behalf by the account holder/s and my/our Adviser in relation to instructions provided in Section 3 and Section 4.
- I/we will not provide my/our personal login details, instead relying on the Participant to issue relevant account access to all account holders.

- for company accounts (as applicable), I/we confirm the company has complied with its constitution and the requirements of the Corporations Act 2001(Cth) in relation to the execution of the method of operation and adviser access.
- for trust accounts (as applicable), warrant that the trust deed/instrument authorises the operation of the account in relation to the method of operation and adviser access.
- with view-only access, the Adviser specified in Section 4 will have access to request information pertaining to the account/s listed above but not perform transactions.
- by granting the Adviser specified in Section 4 with View & Transact access, AUSIEX will not require the account holder/s to approve on behalf of the AUSIEX Cash Account. This option authorises AUSIEX to act on the Adviser's instruction without further confirmation, to me/us or the other account holders on my/our AUSIEX Cash Account.
- by granting the Adviser specified in Section 4 with Adviser View & Transact access, it carries risks, and I/we are responsible for monitoring transactions and any instructions provided to the Adviser specified in Section 4, AUSIEX will not be liable for any instruction received by the Adviser specified in Section 4 if I/we have granted the Adviser specified in Section 4 with View & Transact access on my/our AUSIEX Cash Account.
- all instructions received by the Adviser specified in Section 4, if I/we have granted my/our Adviser View & Transact access,

will be considered to be an instruction provided in accordance with my/our instructions to the Adviser specified in Section 4 and in accordance with the Share Trading Terms and Conditions.

All authorised signatories must sign this form for your instructions to be executed.

I/We authorise you to act in accordance with my/our instructions.

Adviser Declaration

- I am the authorised adviser for the client in relation to the account(s) referenced in this form.
- The information provided in this form is, to the best of my knowledge, true and correct.
- The instructions contained in this form have been provided or authorised by the client.
- Where a nominated bank account has been provided or amended, I have validated the bank account details (including BSB, account number and account name) and confirmed the account is held in the same name as the client's trading account or otherwise meets the applicable AUSIEX account naming requirements.
- I have taken reasonable steps to verify the accuracy of the banking details provided.
- I acknowledge that AUSIEX may rely on the information provided in this form to process the requested changes.

For electronic signatures only

I/we agree that if I/we choose to execute this document which is required by the Participant, utilising an Electronic Signature, I/we acknowledge that:

- I/we are signing this application form electronically and that my/our electronic signature is equivalent to my/our 'wet ink' signature;
- I/we are legally bound to the terms and conditions of this form; and
- I/we confirm that my/our electronic signature is valid and that I/we are authorised to enter into the relevant agreement with the Participant as described in this form.

Account Holder/Director/Secretary/Trustee 1

Full name Date – DD / MM / YYYY / /

Signature – Must be signed pen to paper (with the exception of using an Electronic Signature)

Account Holder/Director/Secretary/Trustee 2

– If applicable

Full name Date – DD / MM / YYYY / /

Signature – Must be signed pen to paper (with the exception of using an Electronic Signature)

i If there are more than 2 authorised signatories, please photocopy this page, complete and attach to the form.

Adviser

Full name Date – DD / MM / YYYY / /

Signature – Must be signed pen to paper (with the exception of using an Electronic Signature)

Australian Investment Exchange Limited ABN 71 076 515 930, AFSL 241400 ('AUSIEX') is a wholly owned subsidiary of Nomura Research Institute, Ltd. ('NRI'). AUSIEX is a Market Participant of ASX Limited ('ASX') and Cboe Australia Pty Ltd ('Cboe'), a Clearing Participant of ASX Clear Pty Limited and a Settlement Participant of ASX Settlement Pty Limited. All trading, clearing, settlement and CHESSE sponsorship arrangements are held directly with AUSIEX.

AUSIEX International Markets service (International Markets) is an international share trading, nominee and custody service provided by AUSIEX. AUSIEX has appointed Saxo Bank A/S Company Reg. No: 15731249 as its International Custodian

How to submit your documents

Clients

Please provide your completed and signed form with relevant supporting documents to your adviser.

☎ 1800 252 351 ✉ service@ausiex.com.au 🌐 ausiex.com.au

Advisers

Lodge this form and all supporting documents:
ausiex.com.au > Administration > eSubmit

